

Indiana Finance Authority Lead Service Line Inventory Funding Program Application

April 20, 2023

All applications must be submitted at:

http://s.alchemer.com/s3/IFA-LSL-Inventory-Grant-Program-Interest-Survey

Lead Service Line Inventory Funding Program

Indiana Finance Authority's ("IFA") Lead Service Line (LSL) Inventory Funding Assistance Program is available to all Community Water Systems with more than 1,000 service connections for completing LSL inventory projects. Please visit <u>our website</u> to learn more about this program prior to submitting your application or to learn more about the technical support and funding options available to smaller communities interested in completing an LSL inventory.

The goals of this program are:

- 1.To help water systems complete and submit EPA mandated LSL Inventories to the Indiana Department of Environmental Management (IDEM) by the Lead and Copper Rule Revisions (LCRR) compliance date of 10/16/24.
- 2. To provide the state with an accurate estimate of lead service lines so the IFA can efficiently offer funding to communities replacing lead lines with safer alternatives in the coming years.

Project Types I & II

Awardees of funding for Project Types I & II will be notified and awarded on a rolling basis as applications are received during the round. For Type I & II Projects only, the IFA will then enter into a Professional Services Agreement with the Service Provider included in the awarded community's application. Service Providers may be serving multiple communities in a given funding round.

Project Type III

Awardees of funding for Project Type III will be notified and awarded following the closing date of the respective round. Projects will be scored and ranked based on the criteria outlined in our program materials for funding consideration. The IFA will enter into an agreement with the utility, which will be structured as a principal forgiveness loan.

Before you begin, please be sure you have:

- Reviewed the IFA Program Guidance and FAQ's on our website
- Used the <u>IFA LSL Inventory Application Checklist</u> to prepare the information needed to enter into this application
- Executed the Scope of Work Certification form (Type I & II only)
- Submitted an inventory to <u>IDEM</u> (Type II & III only)
- Executed the <u>Inventory Submittal Certification Form</u> (Type II and III only)

For the purpose of this application only, submitting a complete lead service line inventory to the IDEM "Portal" is considered submitting a complete inventory to IDEM. This is a different step from submitting to the IDEM "State Dashboard". For questions about the IDEM Portal, contact support@120water.com or DWBMGR@idem.in.gov.

Tips for using this software to complete the application:

- 1. Do not start an application until you are ready to submit. Use the PDF of the application on the website to review ahead of time.
- 2. Utilize the "Save and continue later" function if needed.
- 3. Do not resubmit an application. If you are not sure if you already submitted, please email us at LSLIgrants@ifa.in.gov
- 4. If you need to add info to an existing application, do not resubmit. Email LSLIgrants@ifa.in.gov and we will update your information.
- 5. Please submit one application per PWSID per funding round.

Application Instructions

Before you begin, confirm that you have done the following:

A. Located the "Save and continue later" function at the top right corner of this page. Enter in your email for a link that will return you to your application at a later time, if needed. This function will save your progress on the application as you progress from page to page. If you leave the application prior to completing a page, then that information will not be saved by this function.

- B. Completed review and preparation of the application items:
 - Reviewed the IFA Program Guidance and FAQ's on our website
 - Used the <u>IFA LSL Inventory Application Checklist</u> to prepare the information needed to enter into this application
 - Executed the <u>Scope of Work Certification form</u> (Type I & II only)
 - Submitted an inventory to <u>IDEM</u> (Type II & III only)
 - Executed the <u>Inventory Submittal Certification Form</u> (Type II and III only)

1) Have you completed the above actions?*	
() Yes	
() No	

Applicant Information

2) PWS Name*
3) PWS ID. Please fill out one application per PWS ID*
4) Total number of Service Connections in this system*
Only systems with greater than 1,000 connections may apply. (For information about the Alliance's Lead Inventory Technical Assistance Program for NTNCWS and CWS less than 1,000 service connections, please contact the Alliance via their website.)
5) Utility Contact
The utility staff representative for the application. This person will be the IFA's main contact for the application. They will receive a copy of the submitted application and a confirmatory email of receipt. There will be additional places to identify the utility's Authorized Signatory (if it's not this person) and Service Provider.*
First Name:
Last Name:
Title:
Utility name:
Email Address:
Phone Number:

6) Utility Authorized Signatory Contact

If the authorized signatory for the utility is separate from the primary contact, then list their information below.

First Name:
Last Name:
Title:
Utility name:
Email Address:
Phone Number:
7) How many people does your water system serve? *
Use IDEM's SDWIS database to provide population served. https://myweb.in.gov/IDEM/DWW/
8) What is the Median Household Income for your community or service area?
(https://data.census.gov/) Please use Table S1901: 2021 ACS 5-Year Estimate to locate the MHI for your community that best represents the utility's service territory. If needed, you can take a weighted average but explain below.
Median Household Income (MHI)*:
If you have averaged multiple areas to determine your Median Household Income, please list those that you have used and explain any weighting used.
9) Have you already submitted an LSL inventory to IDEM's LSL Inventory Database (aka, "the Portal") that accounts for 100% of the utility's service lines?
() No
() Yes

10) If you have submitted an LSL inventory to IDEM's LSL Inventory Database (aka, "the Portal), then attach your Inventory Submittal Certification Form to confirm.

This form can be found on the IFA's website.

Project Types & Application Scoring Criteria

Project Types

Project Type I. Utility Records Review & Analysis

The gathering, researching, digitizing, and reviewing of utility records, local codes, tax parcel information and other available data to generate a records-based inventory. Projects may also include data analysis and statistical modeling to determine probable lead service line locations or to check the accuracy of existing records. Geolocation of service lines and meters may also be included in this project type. Home visits/verification of the visible portion of the pipe or other activities that involve engagement with utility customers to assist with service line material verification are eligible.

Project Type II. LSL Replacement Planning

The development of a plan to replace lead service lines in conjunction with other city projects and/or prioritizing at risk or disadvantaged communities as well as other activities related to the incorporation of service line information into the water system's Asset Management Program. Some specific examples of project deliverables include: a Preliminary Engineering Report (PER) for future LSL Replacement projects and standing up or updating an asset management program inclusive of service line condition assessments. Customer outreach programs to encourage customers to participate in a replacement initiative or to provide education on LSL Replacement are also eligible activities. Please note that any community requesting funds for project type II or III must first have submitted an inventory to the state LSL Inventory database.

Project Type III. Service Line Inspection & Inventory Validation

Onsite investigations of service line materials to supplement, inform or validate utility records or inventory models. Inspection activities may include excavation, vacuum/hydro-excavation, surface testing of the service line materials, water testing, and other emerging technologies. Home visits/verification of the visible portion of the pipe or other activities that involve engagement with utility customers to assist with service line material verification are eligible. Please note that any community requesting funds for project type II or III must first have submitted an inventory to the state LSL Inventory database.

Combining Project Types

Utilities will submit a single application that may contain any combination of Projects as long as these constraints are followed:

- 1. Any utility requesting funds for Project Type II or III must first have submitted an inventory to the state LSL Inventory database.
- 2. Project Types II and III may be applied for at the same time if needed. Meaning that a water system may conduct both an LSL Inventory Validation and LSL replacement planning project with IFA funds simultaneously if needed or desired.
- 3. Project Types I and II/III can only be combined if an inventory is already submitted.

11) Which project type(s) describe your initiative for this round of funding? *

IFA Water System Type	Number of Service Lines	Project Type I Funds Available	Project Type II Funds Available	Project Type III Funds Available
CWS 1	1001-5000	\$15,000	\$10,000	\$100,000
CWS 2	5001-10000	\$20,000	\$15,000	\$200,000
CWS 3	10001-15000	\$25,000	\$20,000	\$300,000
CWS 4	>15001	\$30,000	\$25,000	\$400,000

- [] Project Type I: Utility Records Review & Analysis
- [] Project Type II: Replacement Planning
- [] Project Type III: Service Line Inspection & Validation

Project Type I

12) How many Service Providers will complete projects with the Type I funding?

In the case of multiple Type I projects, it is understood that a different Service Provider may be
chosen for each. The below questions will repeat according to the number selected to break out
each individual Service Provider. If there are more than three, email the IFA at
LSLIgrants@ifa.in.gov.

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13) <u>First Project Type I Service Provider Contact</u>
The Service Provider (consultant, engineer, etc.) associated with the project who can speak to the Scope of Work included in this application. This information is required for Type I and II Projects. *
First Name:
Last Name:
Title:
Company:
Email Address:
Phone Number:
14) <u>Second</u> Project Type I Service Provider Contact
The Service Provider (consultant, engineer, etc.) associated with the project who can speak to the Scope of Work included in this application. This information is required for Type I and II Projects. *
First Name:
Last Name:
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Company:

E	Email Address:				
P	hone Number:				
1	5) Third Project Typ	pe I Service Provider C	Contact		
S		,	· ·	the project who can sp required for Type I and	
F	irst Name:				
T	itle:				
C	Company:				
E	Email Address:				
P	hone Number:				
1	6) What is the reque	ested grant amount for	Project Type I? *		
	,	C			XX 71
				ct type per system size. of exceed the appropria	
		ecording to number of s	_	11 1	
	IFA Water	Number of	Project Type I	Project Type II	Project Type III
	System Type	Service Lines	Funds Available	Funds Available	Funds Available
	CWS 1	1001-5000	\$15,000	\$10,000	\$100,000
	CWS 2	5001-10000	\$20,000	\$15,000	\$200,000
	CWS 3	10001-15000	\$25,000	\$20,000	\$300,000
	CWS 4	>15001	\$30,000	\$25,000	\$400,000

17) How many service lines will be identified, verified or included in replacement planning efforts during this project? If you have multiple Type I projects, then this should be the total number. *
 18) Please submit a Scope of Work that addresses the following for your <u>first Project Type I</u>: What data will be evaluated for the inventory project (i.e., tap cards, billing system dat customer surveys, tax parcel information, etc.) What geographic areas will be covered by the inventory project The methods you would utilize to conduct or supplement the inventory Timeline for the project (up to six months)
The IFA encourages communities to work with their selected Service Provider directly on the Scope of Work. Both parties will be asked to agree to the Scope of Work along with the application submittal. *
 19) Please submit a Scope of Work that addresses the following for your <u>second</u> Project Type What data will be evaluated for the inventory project (i.e., tap cards, billing system dat customer surveys, tax parcel information, etc.) What geographic areas will be covered by the inventory project The methods you would utilize to conduct or supplement the inventory
• Timeline for the project (up to six months) The IFA encourages communities to work with their selected Service Provider directly on the Scope of Work. Both parties will be asked to agree to the Scope of Work along with the application submittal. *

- 20) Please submit a Scope of Work that addresses the following for your third Project Type I:
 - What data will be evaluated for the inventory project (i.e., tap cards, billing system data, customer surveys, tax parcel information, etc.)
 - What geographic areas will be covered by the inventory project
 - The methods you would utilize to conduct or supplement the inventory
 - Timeline for the project (up to six months)

The IFA encourages communities to work with their selected Service Provider directly on the Scope of Work. Both parties will be asked to agree to the Scope of Work along with the application submittal. *
21) Which option best represents the arrangement you have with the service provider you selected for your <u>first</u> Type I inventory project? *
() Scenario A: We have selected a provider but have not yet signed a contract
() Scenario B: Our existing contractor is willing to amend/cancel a current contract and would enter into a contractual agreement with the IFA
22) Which option best represents the arrangement you have with the service provider you selected for your <u>second</u> Type I inventory project? *
() Scenario A: We have selected a provider but have not yet signed a contract
() Scenario B: Our existing contractor is willing to amend/cancel a current contract and would enter into a contractual agreement with the IFA
23) Which option best represents the arrangement you have with the service provider you selected for your <u>third</u> Type I inventory project? *
() Scenario A: We have selected a provider but have not yet signed a contract
() Scenario B: Our existing contractor is willing to amend/cancel a current contract and would enter into a contractual agreement with the IFA

24) Please upload your Scope of Work Certification Form(s).

The form can be found on IFA's website*

Project Type II

25) How many Service Providers will complete projects with the Type II funding?

In the case of multiple Type II projects, it is understood that a different Service Provider may be chosen for each. The below questions will repeat according to the number selected to break out each individual Service Provider. If there are more than three, email the IFA at LSLIgrants@ifa.in.gov.

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26) First Project Type II Service Provider Contact

The Service Provider (consultant, engineer, etc.) associated with the project who can speak to the Scope of Work included in this application. This information is required for Type I and II Projects. *

First Name:	
Last Name:	
Title:	
Phone Number:	

27) Second Project Type II Service Provider Contact

The Service Provider (consultant, engineer, etc.) associated with the project who can speak to the Scope of Work included in this application. This information is required for Type I and II Projects. *

First Name: _		 	
Last Name: _	 	 	
Title:			

Company:
Email Address:
Phone Number:
28) <u>Third</u> Project Type II Service Provider Contact
The Service Provider (consultant, engineer, etc.) associated with the project who can speak to the Scope of Work included in this application. This information is required for Type I and II Projects. *
First Name:
Last Name:
Title:
Company:
Email Address:
Phone Number:

29) What is the requested grant amount for Project Type II?

The table below provides the <u>up to</u> grant amounts for each project type per system size. When describing multiple Type II projects, the sum of the request cannot exceed the appropriate maximum amount according to number of service lines.

Recall that the applicant must have submitted an LSL inventory to IDEM <u>prior</u> to requesting funds for Project Type II or III and that IFA allows communities to apply for and receive multiple rounds of grant funding for LSL inventory projects. This approach allows the IFA to spread out funds for LSL inventory work that will meet EPA's inventory requirement more evenly leading up to the LCRR inventory submission deadline 10/16/24. We want all communities to have an opportunity to receive help at the time it is most needed and recognize that each community may be starting LSL inventory projects at different times.

IFA Water System Type	Number of Service Lines	Project Type I Funds Available	Project Type II Funds Available	Project Type III Funds Available
CWS 1	1001-5000	\$15,000	\$10,000	\$100,000
CWS 2	5001-10000	\$20,000	\$15,000	\$200,000
CWS 3	10001-15000	\$25,000	\$20,000	\$300,000
CWS 4	>15001	\$30,000	\$25,000	\$400,000

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First Project Type II:	
Second Project Type II:	_
Third Project Type II:	
30) How many service lines will be identified, verified or included in replacement plefforts during this project? If you have multiple Type II projects, then this should be number. *	_

- 31) Please submit a Scope of Work that addresses the following for your <u>first</u> Project Type II:
 - What data will be evaluated for the inventory project (i.e., tap cards, billing system data, customer surveys, tax parcel information, etc.)
 - What geographic areas will be covered by the inventory project
 - The methods you would utilize to conduct or supplement the inventory
 - Discuss the methods used to conduct the initial inventory and provide the date you submitted your inventory to IDEM
 - Timeline for the project (up to six months)

The IFA encourages communities to work with their selected Service Provider directly on the Scope of Work. Both parties will be asked to agree to the Scope of Work along with the application submittal. *

32) Please submit a Scope of Work that addresses the following for your second Project Type II:

- What data will be evaluated for the inventory project (i.e., tap cards, billing system data, customer surveys, tax parcel information, etc.)
- What geographic areas will be covered by the inventory project
- The methods you would utilize to conduct or supplement the inventory
- Discuss the methods used to conduct the initial inventory and provide the date you submitted your inventory to IDEM
- Timeline for the project (up to six months)

The IFA encourages communities to work with their selected Service Provider directly on the cope of Work. Both parties will be asked to agree to the Scope of Work along with the
pplication submittal. *

- 33) Please submit a Scope of Work that addresses the following for your third Project Type II:
 - What data will be evaluated for the inventory project (i.e., tap cards, billing system data, customer surveys, tax parcel information, etc.)
 - What geographic areas will be covered by the inventory project
 - The methods you would utilize to conduct or supplement the inventory
 - Discuss the methods used to conduct the initial inventory and provide the date you submitted your inventory to IDEM
 - Timeline for the project (up to six months)

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- 34) Which option best represents the arrangement you have with the service provider you selected for your first Type II inventory project? *
- () Scenario A: We have selected a provider but have not yet signed a contract
- () Scenario B: Our existing contractor is willing to amend/cancel a current contract and would enter into a contractual agreement with the IFA
- 35) Which option best represents the arrangement you have with the service provider you selected for your second Type II inventory project? *
- () Scenario A: We have selected a provider but have not yet signed a contract
- () Scenario B: Our existing contractor is willing to amend/cancel a current contract and would enter into a contractual agreement with the IFA
- 36) Which option best represents the arrangement you have with the service provider you selected for your <u>third</u> Type II inventory project? *
- () Scenario A: We have selected a provider but have not yet signed a contract
- () Scenario B: Our existing contractor is willing to amend/cancel a current contract and would enter into a contractual agreement with the IFA
- 37) Please upload your Scope of Work Certification Form(s).

The form can be found on IFA's website*

Project Type III

38) How many Service Providers will complete projects with the Type III funding?

In the case of multiple Type III projects, it is understood that a different Service Provider may be chosen for each. The below questions will repeat according to the number selected to break out each individual Service Provider. If there are more than four, email the IFA at LSLIgrants@ifa.in.gov.

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39) <u>First</u> Project Type III Service Provider Contact
The Service Provider (consultant, engineer, etc.) associated with the project who can speak to the Scope of Work included in this application. This information is required for Type I and II Projects.
First Name:
Last Name:
Title:
Company:
Email Address:
Phone Number:
40) <u>Second</u> Project Type III Service Provider Contact
The Service Provider (consultant, engineer, etc.) associated with the project who can speak to the Scope of Work included in this application. This information is required for Type I and II Projects.

First Name: ______Last Name: ______

Title:
Company:
Email Address:
Phone Number:
41) Third Project Type III Service Provider Contact
The Service Provider (consultant, engineer, etc.) associated with the project who can speak to the Scope of Work included in this application. This information is required for Type I and II Projects.
First Name:
Last Name:
Title:
Company:
Email Address:
Phone Number:
42) <u>Fourth</u> Project Type III Service Provider Contact
The Service Provider (consultant, engineer, etc.) associated with the project who can speak to the Scope of Work included in this application. This information is required for Type I and II Projects.
First Name:
Last Name:
Title:
Company:
Email Address:
Phone Number:

43) What is the requested grant amount for Project Type III?

The table below provides the $\underline{up\ to}$ grant amounts for each project type per system size. When describing multiple Type III projects, the sum of the request cannot exceed the appropriate

maximum amount according to number of service lines.

Recall that the applicant must have submitted an LSL inventory to IDEM <u>prior</u> to requesting funds for Project Type II or III and that IFA allows communities to apply for and receive multiple rounds of grant funding for LSL inventory projects. This approach allows the IFA to spread out funds for LSL inventory work that will meet EPA's inventory requirement more evenly leading up to the LCRR inventory submission deadline 10/16/24. We want all communities to have an opportunity to receive help at the time it is most needed and recognize that each community may be starting LSL inventory projects at different times.

IFA Water System Type	Number of Service Lines	Project Type I Funds Available	Project Type II Funds Available	Project Type III Funds Available
CWS 1	1001-5000	\$15,000	\$10,000	\$100,000
CWS 2	5001-10000	\$20,000	\$15,000	\$200,000
CWS 3	10001-15000	\$25,000	\$20,000	\$300,000
CWS 4	>15001	\$30,000	\$25,000	\$400,000

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First Project Type III:
Second Project Type III:
Third Project Type III:
Fourth Project Type III:

44) How many service lines will be identified, verified or included in replacement planning efforts during this project? If you have multiple Type III projects, then this should be the total number.

- 45) Please submit a Scope of Work that addresses the following for your <u>first</u> Project Type III:
 - The methods you will utilize to conduct or supplement the inventory (e.g., excavation, vacuum/hydro-excavation, surface testing of service line materials)
 - What geographic areas will be covered by the inventory project
 - Discuss the methods used to conduct the initial inventory and provide the date you submitted your inventory to IDEM

•	Timeline	for the	project ((up to	12 months))
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The IFA encourages communities to work with their selected Service Provider directly on the Scope of Work. Both parties will be asked to agree to the Scope of Work along with the application submittal. *	ıe

46) Please submit a Scope of Work that addresses the following for your <u>second</u> Project Type III:

- The methods you will utilize to conduct or supplement the inventory (e.g., excavation, vacuum/hydro-excavation, surface testing of service line materials)
- What geographic areas will be covered by the inventory project
- Discuss the methods used to conduct the initial inventory and provide the date you submitted your inventory to IDEM
- Timeline for the project (up to 12 months)

The IFA encourages communities to work with their selected Service Provider directly on the Scope of Work. Both parties will be asked to agree to the Scope of Work along with the application submittal. *
application submittal.

47) Please submit a Scope of Work that addresses the following for your third Project Type III:

- The methods you will utilize to conduct or supplement the inventory (e.g., excavation, vacuum/hydro-excavation, surface testing of service line materials)
- What geographic areas will be covered by the inventory project
- Discuss the methods used to conduct the initial inventory and provide the date you submitted your inventory to IDEM
- Timeline for the project (up to 12 months)

A encourages communities to work with their selected Service Provider directly on the of Work. Both parties will be asked to agree to the Scope of Work along with the ation submittal. *	
48) Please submit a Scope of Work that addresses the following for your <u>fourth</u> Project Type III:	
 The methods you will utilize to conduct or supplement the inventory (e.g., excavation, vacuum/hydro-excavation, surface testing of service line materials) What geographic areas will be covered by the inventory project Discuss the methods used to conduct the initial inventory and provide the date you submitted your inventory to IDEM Timeline for the project (up to 12 months) 	
The IFA encourages communities to work with their selected Service Provider directly on the Scope of Work. Both parties will be asked to agree to the Scope of Work along with the application submittal. *	
49) What is the <u>total</u> cost associated with each project as described in the application?*	
First Project Type III:	
Second Project Type III:	
Third Project Type III:	
Fourth Project Type III:	

50) What are the total <u>Engineering/Design</u> costs associated with each project as described i application?
List \$0 if not applicable*
First Project Type III:
Second Project Type III:
Third Project Type III:
Fourth Project Type III:
51) What are the total <u>Materials</u> costs associated with each project as described in the application? List \$0 if not applicable*
First Project Type III:
Second Project Type III:
Third Project Type III:
Fourth Project Type III:
Fourth Project Type III: